

# ART: A Unified Unsupervised Framework for Incident Management in Microservice Systems

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# Outline

## What's the incident life-cycle in microservice systems?

→ Anomaly detection, failure triage, root cause localization

## How to achieve the unification across multiple tasks?

→ Anomalous deviation: the shared knowledge

## Framework design

→ Dependency-Aware Status Learning, Unified Failure Representation Acquisition, Unsupervised Solutions for Diagnostic Tasks

## Evaluation

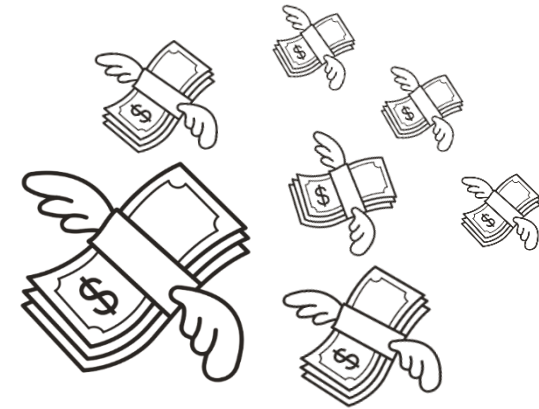
→ 2 popular microservice systems

# Microservice Systems



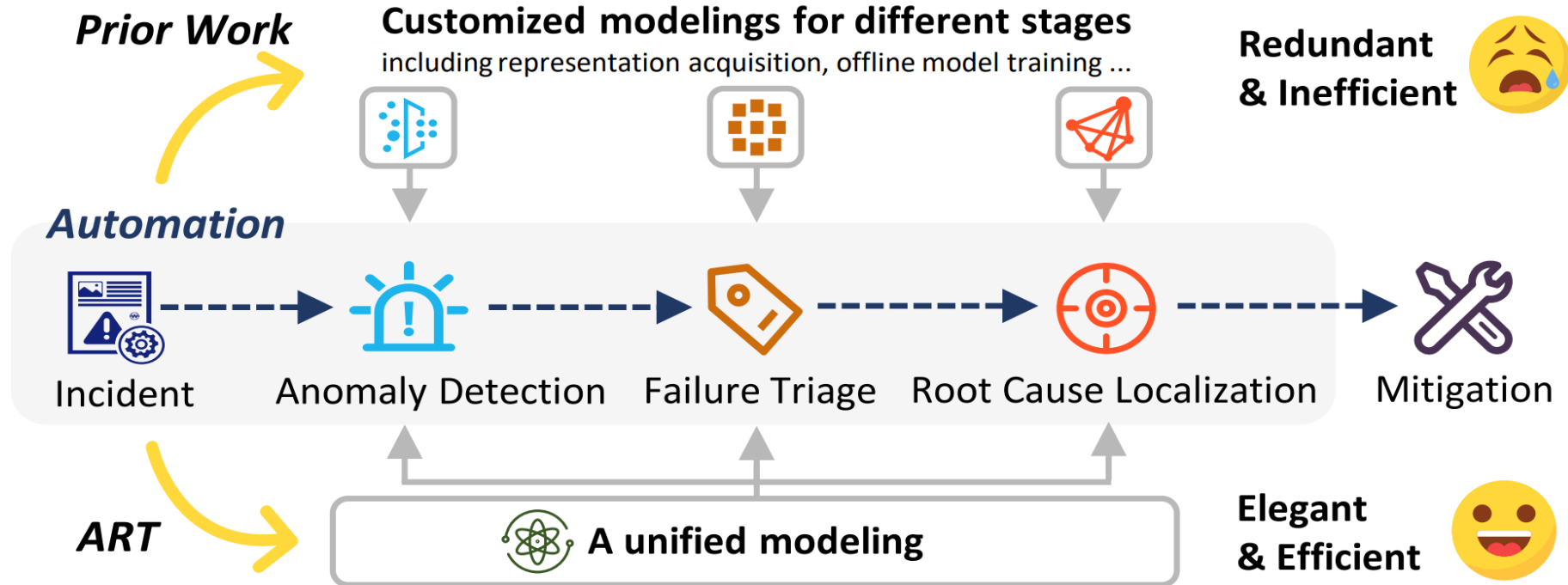
Microservice systems have become an essential part of our daily lives

# Impact of Incidents



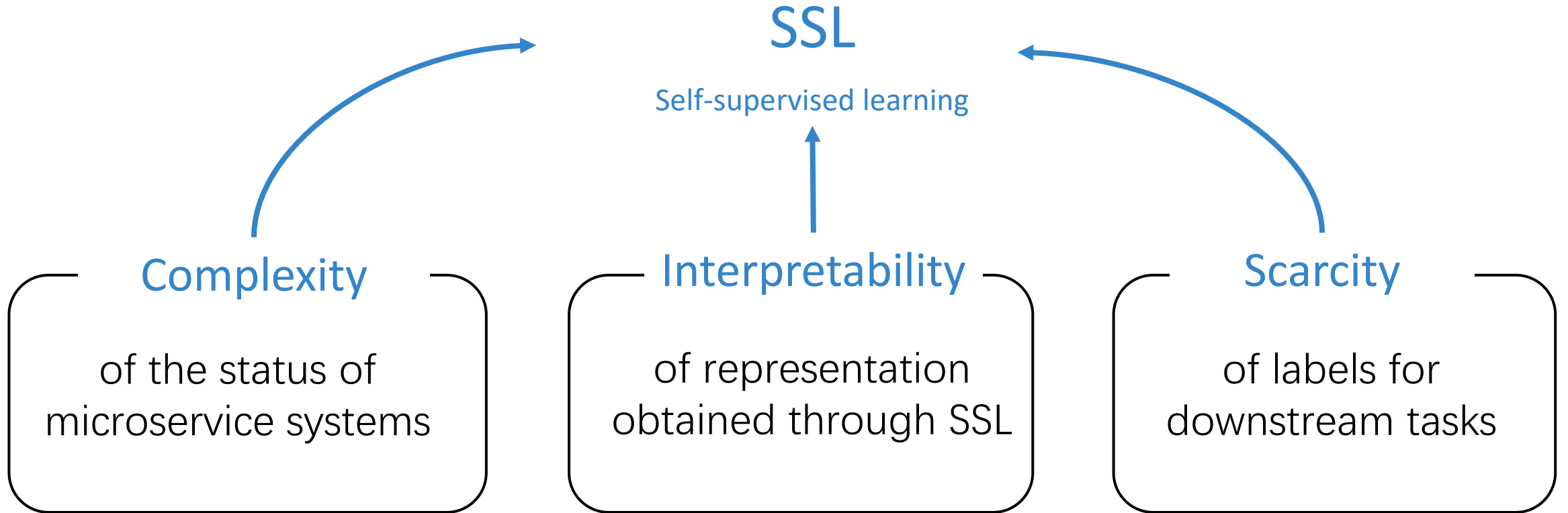
Incidents -> Unsatisfying customers -> Economic loss

# An Incident Life-cycle



OCEs call for an elegant and efficient unified modeling approach

# Challenges When Applying SSL



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# Design Motivation

Unification

How to achieve unification  
across multiple tasks?

?

What?

Is there any shared knowledge  
among AD, FT, and RCL?

How?

How to extract the shared  
knowledge effectively?



# RQ1: The Shared Knowledge

- **Anomalous Deviation**
- The difference between **predictions/expectations** and **observations**



- ILD (**instance-level** deviation)
- K-dimensional vectors
- The fluctuations of each corresponding channel at instance level



- SLD (**system-level** deviation)
- K-dimensional vectors
- The fluctuations of each corresponding channel at system level



# RQ1: The Shared Knowledge

**Table 1:  $L_1$ -norms of SLDs during failure and normal hours**

| System Status | Metric | Deviations: $\ SLD\ _1$ | Percentile |
|---------------|--------|-------------------------|------------|
| Failure Hours | Mean   | 100.620                 | P85        |
|               | Median | 90.165                  | P73        |
| Normal Hours  | Mean   | 82.716                  | P64        |
|               | Median | 77.147                  | P49        |

Deviations Manifested in Anomaly Detection

# RQ1: The Shared Knowledge

**Table 2: Top5 channels with the largest deviations for different failure types**

| Failure Type       | Top5 Data Channels with the Largest Deviations |                           |                         |                        |                          |
|--------------------|--|---------------------------|-------------------------|------------------------|--------------------------|
| Container Hardware | container_fs_inodes                            | container_fs_usage_MB     | container_fs_writes     | container_memory_cache | container_threads        |
| Container Network  | duration                                       | severity_error            | connection_error        | service_log_other      | system.net.udp.in_errors |
| Node CPU           | system.disk.total                              | system.fs.inodes.free     | system.fs.inodes.in_use | system.fs.inodes.total | system.load.15           |
| Node Disk          | container_last_seen                            | system.disk.free          | system.disk.pct_usage   | system.disk.total      | system.disk.used         |
| Node Memory        | system.mem.pct_usage                           | system.mem.real.pct_usage | system.mem.real.used    | system.mem.usable      | system.mem.used          |

Deviations Manifested in Failure Triage

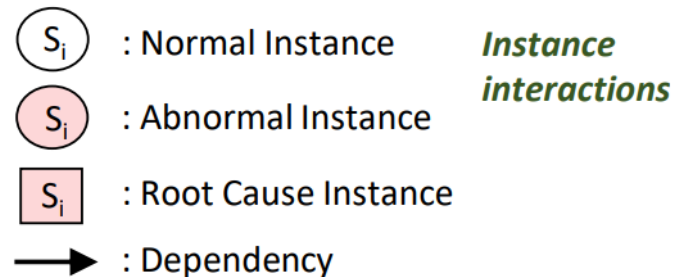
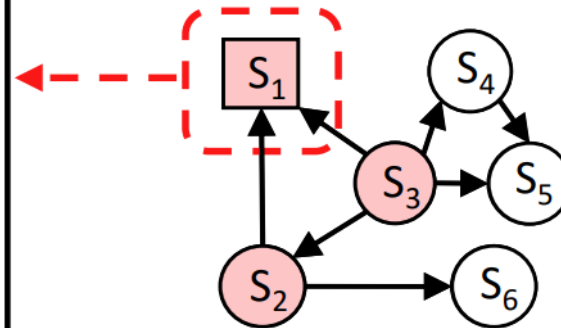
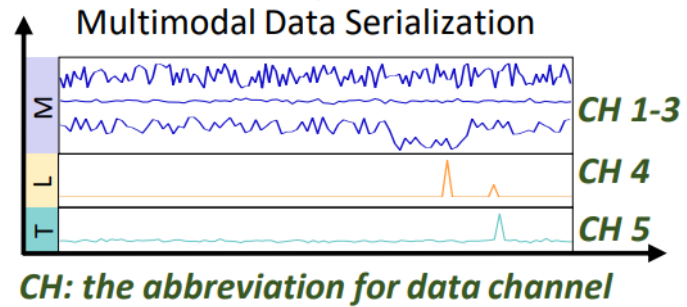
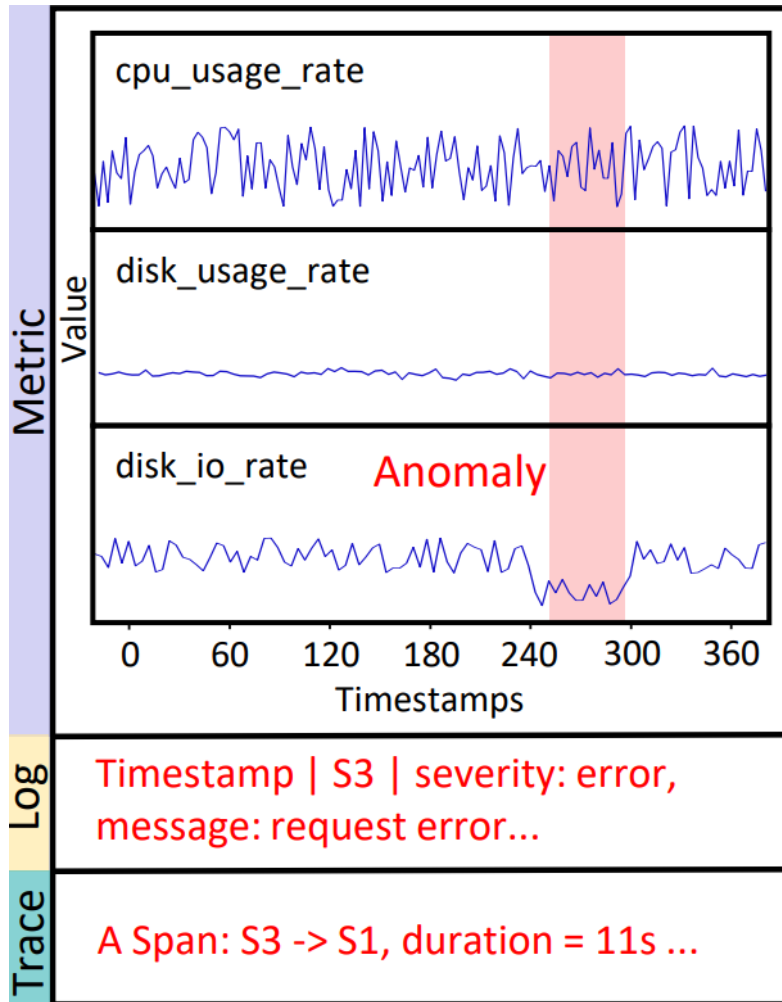
# RQ1: The Shared Knowledge

**Table 3: Silimarity between SLDs and ILDs of root cause and non-root cause instances**

| Instances      | Metric | Cosine Similarity | Percentile |
|----------------|--------|-------------------|------------|
| Root Cause     | Mean   | 0.714             | P83        |
|                | Median | 0.767             | P90        |
| Non-root Cause | Mean   | 0.487             | P46        |
|                | Median | 0.499             | P48        |

Deviations Manifested in Root Cause Localization

# RQ2: How to Extract



- Channel Dependency
- Temporal Dependency
- Call Dependency

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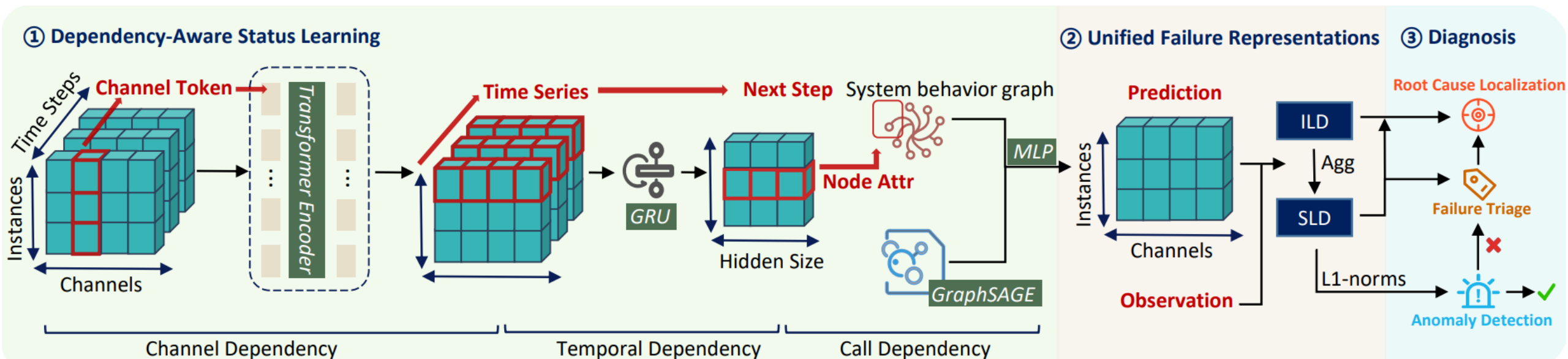
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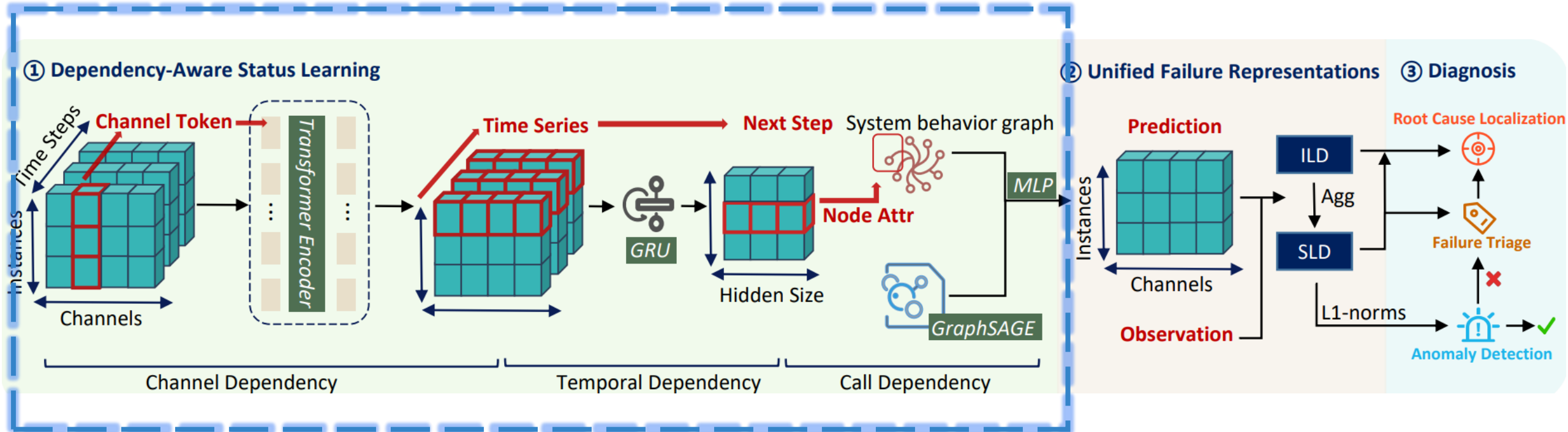
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# ART Overview



A Unified Unsupervised Framework  
for Incident Management in Microservice Systems

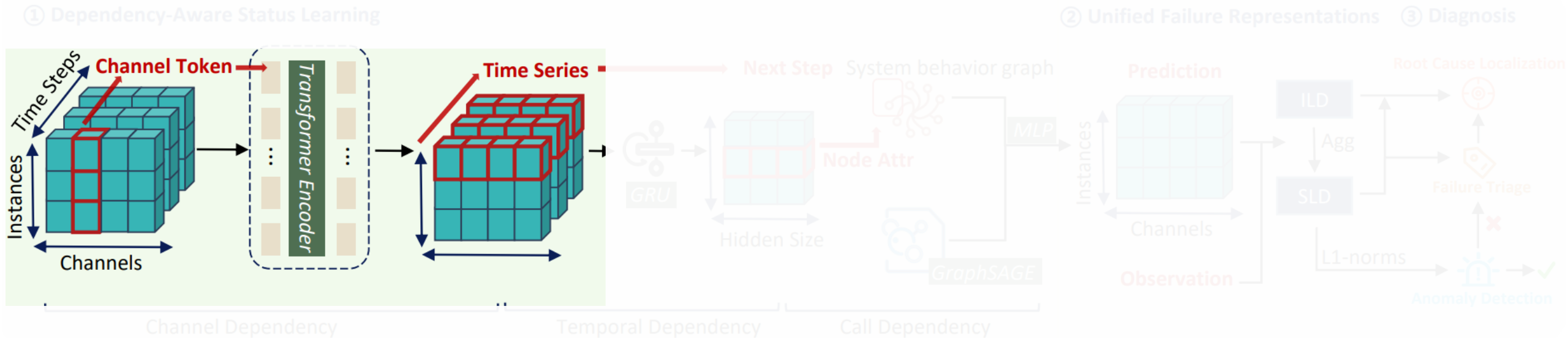
# ART - Module #1



Module #1 Dependency-Aware Status Learning

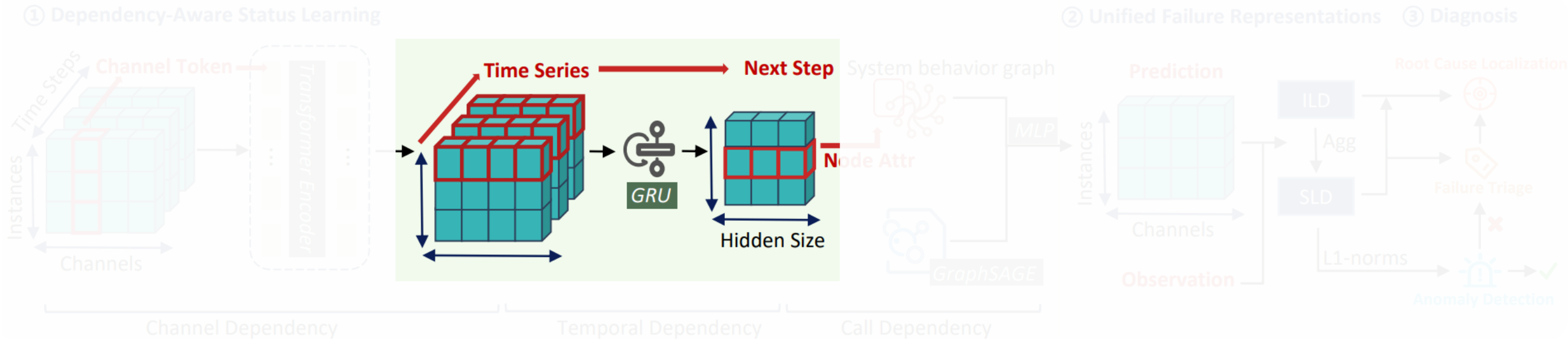


# ART - Module #1



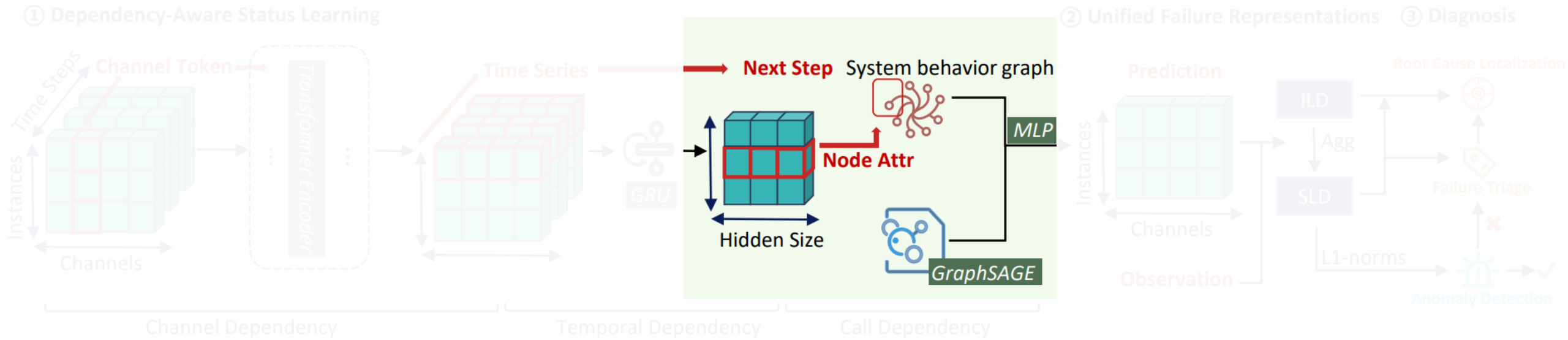
## Module #1 Channel Dependency

# ART - Module #1



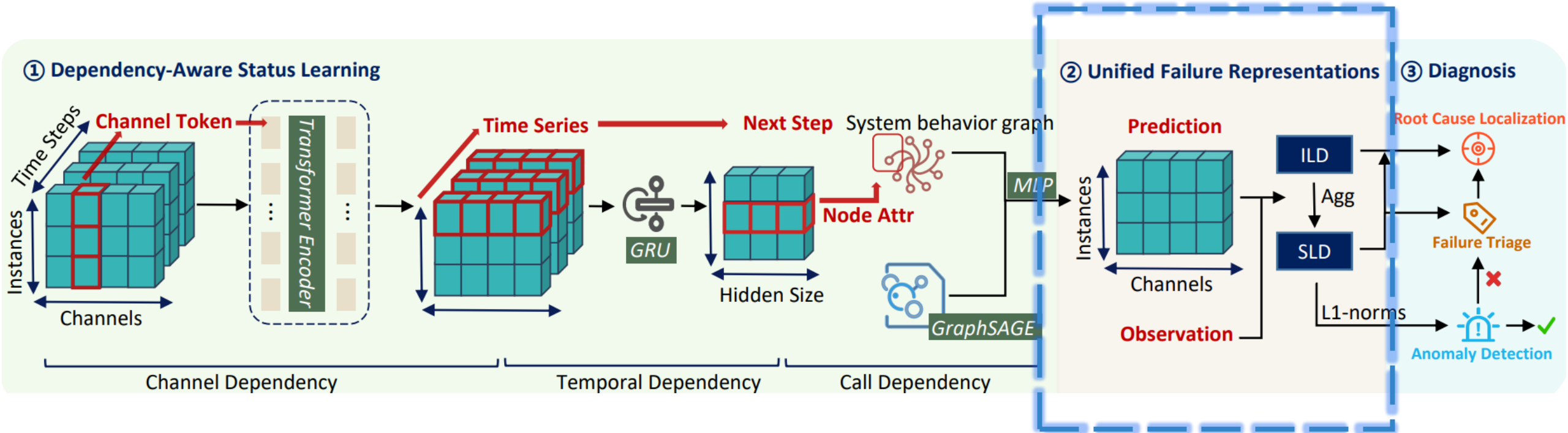
## Module #1 Temporal Dependency

# ART - Module #1



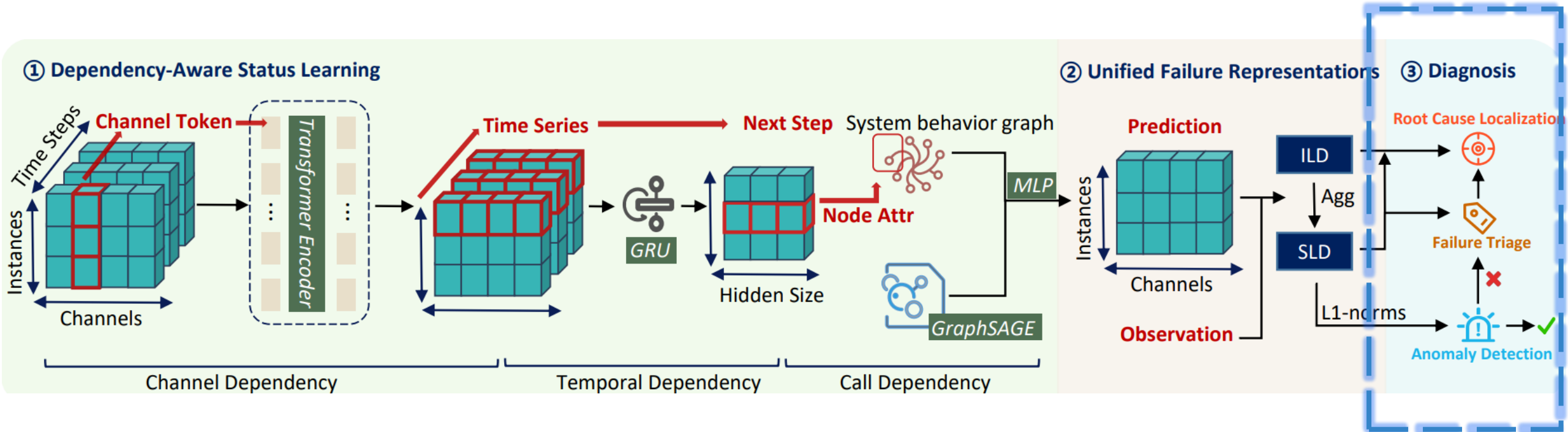
## Module #1 Call Dependency

# ART - Module #2



Module #2 Unified Failure Representation Acquisition

# ART - Module #3



Module #3 Unsupervised Solutions for Diagnostic Tasks

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# Evaluation: Performance

**Table 5: Performance comparison for AD, FT, and RCL. "-" means the method does not cover the problem.**

| #        | Method          | D1           |              |              |              |              |              |              |              |              | D2           |              |              |              |              |              |              |              |              |
|----------|-----------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|          |                 | AD           |              |              | FT           |              |              | RCL          |              |              | AD           |              |              | FT           |              |              | RCL          |              |              |
|          |                 | Precision    | Recall       | F1           | Precision    | Recall       | F1           | Top1         | Top3         | AVG@5        | Precision    | Recall       | F1           | Precision    | Recall       | F1           | Top1         | Top3         | AVG@5        |
| multiple | ART             | <b>0.899</b> | <b>0.990</b> | <b>0.942</b> | <b>0.836</b> | <b>0.809</b> | <b>0.812</b> | <b>0.667</b> | <b>0.810</b> | <b>0.776</b> | <b>0.877</b> | <b>0.960</b> | <b>0.917</b> | <b>0.851</b> | <b>0.796</b> | <b>0.802</b> | <b>0.722</b> | <b>0.889</b> | <b>0.870</b> |
|          | Eadro [27]      | 0.425        | 0.946        | 0.586        | -            | -            | -            | 0.137        | 0.315        | 0.302        | 0.767        | 0.935        | 0.842        | -            | -            | -            | 0.157        | 0.315        | 0.310        |
|          | Dejevu [31]     | -            | -            | -            | 0.369        | 0.621        | 0.415        | 0.411        | 0.679        | 0.625        | -            | -            | -            | 0.718        | 0.340        | 0.417        | 0.402        | 0.667        | 0.619        |
|          | DiagFusion [60] | -            | -            | -            | 0.675        | 0.500        | 0.568        | 0.310        | 0.452        | 0.467        | -            | -            | -            | 0.797        | 0.527        | 0.593        | 0.582        | 0.709        | 0.695        |
| single   | Hades [28]      | 0.866        | 0.863        | 0.865        | -            | -            | -            | -            | -            | -            | 0.867        | 0.868        | 0.868        | -            | -            | -            | -            | -            | -            |
|          | MicroCBR [35]   | -            | -            | -            | 0.667        | 0.796        | 0.717        | -            | -            | -            | -            | -            | -            | 0.629        | 0.678        | 0.636        | -            | -            | -            |
|          | PDiagnose [19]  | -            | -            | -            | -            | -            | -            | 0.615        | 0.692        | 0.685        | -            | -            | -            | -            | -            | -            | 0.037        | 0.296        | 0.285        |

# Evaluation: Efficiency

**Table 6: The comparison of training time (Offline) and diagnosis time (Online) per case. The unit is second. "-" means no need for training.**

| Method     | Target |    |     | D1       |        | D2        |        |
|------------|--------|----|-----|----------|--------|-----------|--------|
|            | AD     | FT | RCL | Offline  | Online | Offline   | Online |
| ART        | ✓      | ✓  | ✓   | 460.262  | 0.872  | 1085.767  | 1.363  |
| Eadro      | ✓      |    | ✓   | 510.570  | 0.627  | 795.416   | 0.899  |
| Dejavu     |        | ✓  | ✓   | 1182.468 | 0.427  | 1937.330  | 1.028  |
| DiagFusion |        | ✓  | ✓   | 621.309  | 4.145  | 310.357   | 3.297  |
| Hades      | ✓      |    |     | 1214.528 | 0.104  | 2073.0413 | 0.415  |
| MicroCBR   |        | ✓  |     | -        | 0.278  | -         | 0.306  |
| PDiagnose  |        |    | ✓   | -        | 4.342  | -         | 9.919  |



# Evaluation: Ablation Study

**Table 7: The evaluation results of ablation study**

| Method | D1           |              |              | D2           |              |              |
|--------|--------------|--------------|--------------|--------------|--------------|--------------|
|        | AD: F1       | FT: F1       | RCL: AVG@5   | AD: F1       | FT: F1       | RCL: AVG@5   |
| ART    | <b>0.942</b> | <b>0.812</b> | <b>0.776</b> | <b>0.917</b> | <b>0.802</b> | <b>0.870</b> |
| A1     | 0.900        | 0.558        | 0.727        | 0.891        | 0.727        | 0.851        |
| A2     | 0.914        | 0.671        | 0.672        | 0.783        | 0.754        | 0.853        |
| A3     | 0.922        | 0.700        | 0.725        | 0.858        | 0.638        | 0.857        |
| B1     | 0.936        | 0.794        | 0.748        | 0.906        | 0.717        | 0.855        |
| B2     | 0.926        | 0.728        | 0.770        | 0.881        | 0.621        | 0.866        |
| B3     | 0.893        | 0.680        | 0.770        | 0.892        | 0.728        | 0.863        |
| B4     | 0.931        | 0.769        | 0.755        | 0.845        | 0.786        | 0.862        |
| B5     | 0.893        | 0.758        | 0.714        | 0.888        | 0.570        | 0.844        |

# Conclusion

- **Motivation:** OCEs call for an elegant and efficient unified modeling approach, addressing anomaly detection, failure triage, and root cause localization
- **Challenge:** complexity, interpretability, scarcity
- **Solution:** ART framework for incident management
  - Dependency-Aware Status Learning, Unified Failure Representation Acquisition, Unsupervised Solutions for Diagnostic Tasks
- **Evaluation:** superior performance with comparable efficiency

Thank you!